

**Jay Allen Farrell**

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EMPLOYMENT UNIVERSITY OF CALIFORNIA AT RIVERSIDE  
KA Endowed Professor of Electrical and Computer Engineering 2021 – Present  
Associate Dean, Academic Personnel, Bourns College of Engineering 2017 – 2021  
Chair of Electrical and Computer Engineering Department 7/2011 – 6/2017  
Professor, Electrical and Computer Engineering 2001 – Present  
Chair of Electrical Engineering Department 7/1998 – 6/2001  
Associate Professor, Electrical Engineering 1997 – 2001  
Assistant Professor, Electrical Engineering 1994 – 1997  
CHARLES DRAPER LABORATORY  
Principal Member of Technical Staff 1989 – 1994

EDUCATION UNIVERSITY OF NOTRE DAME Notre Dame, IN 46556  
Doctor of Philosophy, Electrical Engineering  
Major Area: Systems and Applied Mathematics  
Minor Area: Mathematics  
Master of Science, Electrical Engineering

IOWA STATE UNIVERSITY Ames, IA 50010  
Bachelor of Science, Electrical Engineering  
Degree Conferred With Distinction  
Bachelor of Science, Physics  
Degree Conferred With Distinction

TEACHING State and parameter estimation; Linear, nonlinear, or adaptive systems and control;  
Modeling, dynamics and simulation

RESEARCH My research program focuses on advanced navigation, control, situation assessment,  
and planning technologies as necessary to enhance the demonstrated capabilities of  
autonomous vehicles.

**AWARDS**

- Engineering Vice President’s Annual Award for Best Technical Publication, 1990
- Charles Draper Laboratory Recognition Award. For outstanding performance and achievement, 1991
- Charles Draper Laboratory Recognition Award. For outstanding performance and achievement, 1993
- GNSS Leader to Watch for 2009-2010, GPS World Magazine, May 2009
- IEEE CSS Distinguished Member Award, December 2009
- Winner of Connected Vehicle Technology Challenge -- sponsored by U.S. Department of Transportation’s (DOT’s) Research and Innovative Technology Administration (RITA), July 2011
- UCR Bourns Pioneering Faculty, 2015
- UCR 2020 Distinguished Campus Service Award
- IEEE Fellow, 2008; AAAS Fellow, 2011; IFAC Fellow 2020.

## Selected Professional and Academic Service

### **Selected Professional Society Leadership**

- IEEE CSS Board of Governors, Elected member 2003 – 2006, 2012 – 2014
- IEEE CSS, Vice President of Financial Affairs 2005 – 2006
- IEEE CSS, Vice President of Technical Activities 2007 – 2008
- IEEE CSS, Executive Comm. Ad-hoc on New Technical Awards, Member 2008
- Task Force to negotiate and write-up an MOU with Papercept, member 11/2010 – 11/2011
- IEEE CSS Long Range Planning Committee 2005 – 2008, 2013 – 2017, 2020, 2021
- IEEE CSS, President Elect 2013
- IEEE CSS, President 2014
- IEEE Technical Activities Board, Member 2014
- IEEE CSS, Past President 2015
- IEEE CSS Nominating Comm. ex-officio 2013, 2015; elected 2014, 2016, 2017, 2018, 2019, 2022
- IEEE Fellow Committee 2015, 2016, 2017
- IEEE Education Activity Board, Treasurer 2017
- IEEE Financial Committee, Member 2017
- ECEDHA Program Committee 16/17, 17/18
- ECEDHA Board of Directors 17/18 – 18/19
- American Automatic Control Council, Vice President 2018 – 2019
- American Automatic Control Council, President 2020 – 2021
- IFAC Adaptive and Learning Systems Award, Selection Committee Chair 2023
- Advisory Panel Member, Birkhauser/Springer Control Engineering Series 05/2023 - present

### **Selected Conference Organization & Journal Associate Editorship**

- Associate Editor, IEEE Transactions on Neural Networks 1991 – 1994
- Financial Chair, IEEE Conf. on Decision and Control, 1995, New Orleans, LA 1993 – 1996
- Local Arrangements Chair, IEEE Conf. on Decision and Control, 1997, San Diego, CA 1994 – 1997
- Associate Editor, IEEE Transactions on Automatic Control 1997 – 1999
- Member, IEEE Control Systems Society Conf. Editorial Board 1994 – 1998
- Financial Chair, IEEE Conf. on Decision and Control, 2001, Orlando, FL 1999 – 2002
- Financial Chair, IEEE Conference on Decision and Control, 2003, Maui, HI 2001 – 2004
- Guest Editor for IEEE Control Systems Magazine Special Issue on Kalman Filtering 2010
- General Vice-Chair, Joint 50<sup>th</sup> IEEE Conf. on Decision and Control, European Control Conf. 2011
- General Chair of the 51<sup>st</sup> IEEE Conf. on Decision and Control, 2012 2009 – 2013
- IFAC World Congress, USA Proposal Committee 2015 – 2018
- Recent Program Committees: Associate Editor for IEEE CSS 2019 CCTA; 13<sup>th</sup> Int. Conf. on Marine Nav. and Safety of Sea Transp., 2019; Special Session Co-organizer CDC 2019; Workshop ACC 2020.

AACC – American Automatic Control Council (the US National Member Organization of IFAC); CSS – Control Systems Society; ECEDHA – Electrical and Computer Engineering Department Heads Association; IEEE – Institute of Electrical and Electronic Engineers; IFAC – International Federation of Automatic Control.

## Selected Professional and Academic Service

### **Plenary, Keynote and Panel Presentations**

- Invited Panel Discussion Member on the Future on Neural Network Research at the International Symposium on Neural Networks, Nanjing, China, June 2007.
- Invited workshop on self-organizing on-line approximation-based control at the 2007 International Symposium on Neural Networks, June 3, 2007, in Nanjing China, June 2007.
- Plenary Panel Member at the 2007 International Symposium on Neural Networks, June 5, 2007, in Nanjing China. Plenary panelists: S. N. Balakrishnan, T. Basar, Tianyou Chai, J. A. Farrell, Deyi Li, T. Parisini, M. M. Polycarpou, Zengqi Sun, Jun Wang, P. Werbos, Zongben Xu, June 2007.
- Plenary presentation entitled "Vehicle Autonomy and Intelligent Control: Where are we and What Lies Ahead?" at the IEEE Multiconference on Systems and Control, San Antonio, TX, Sept. 2008.
- Member of moderated panel on the "50th Anniversary of the Kalman Filter" at the 2010 Institute of Navigation (ION) Global Navigation Satellite System (GNSS) conference. Participation included a 30 minute presentation by each panelist plus group discussion, questions, and answers. September 2010.
- Keynote Speaker, "Precision Mapping and Navigation for Intelligent Highway Systems", Chinese Control and Decision Conference, Chengsha, China, May 31, 2014.
- Plenary Speaker, "Precision Mapping and Vehicle State Estimation for Autonomous Highway Systems", Society of Instrumentation and Control Engineers (SICE), Sapporo, Japan, Sept. 11, 2014.
- Plenary Speaker, "Automated Sensor Based Precision Mapping for Autonomous Highway Systems", 15<sup>th</sup> International Conference on Control, Automation, and Systems, Busan, Korea, October, 2015.
- Plenary Speaker, "Automated Sensor Based Precision Mapping for Autonomous Highway Systems", International Automatic Control Conference, Yilan, Tiawan, November 2015.
- Keynote Speaker, "Precise and Reliable Mapping and Vehicle State Estimation for Autonomous Highway Vehicles," IFAC Large Scale Complex Systems Symposium, May 28, 2016.
- Plenary Speaker, "Contemplative Real-time Estimation in Autonomous Vehicle Applications," IEEE Multiconference on Decision and Control, Buenos Aires, Argentina, September 2016.
- Plenary Speaker, "Reliably Precise State Estimation for Autonomous Highway Vehicles," 10<sup>th</sup> International Conference on Human System Interactions (HIS 2017), Ulsan, Korea, July 18, 2017.
- Distinguished Lecture Seminar Speaker, "Reliably Accurate State Estimation for Autonomous Highway Vehicles", Iowa State University, March 2018.
- Distinguished Speaker, "Precise and Reliably State Estimation for Connected and Autonomous Highway Vehicles," Peking University, Beijing China, May 9, 2019.
- Plenary Speaker, "Reliably State Estimation for Connected and Autonomous Highway Vehicles," 2019 International Workshop on Frontiers of Autonomous Systems and Applications, Chengdu, China, May 12, 2019.
- Distinguished Speaker, "Precise and Reliably State Estimation for Connected and Autonomous Highway Vehicles," University of Electronic Science and Technology of China, Chengdu, China, May 14, 2019.
- Keynote Speaker, Int. Symposium on Autonomous Systems- ISAS, Guangzhou, China, May 28-30, 2020.
- Invited Speaker, "Reliably Accurate State Estimation for Connected and Autonomous Highway Vehicles," University of California, Berkeley, Institute of Transportation Studies, September 2019
- Keynote Speaker, Symposium, Southeastern University, Nanjing, China June 1, 2020.
- Speaker, "Precise and Reliably State Estimation for Connected and Autonomous Highway Vehicles," Southeast University, Nanjing, China, October 20, 2020 (Remotely presented).

## Selected Professional and Academic Service

### Department Service

- **7/1995-6/1998:** EE Graduate Program Lead. *Wrote and guided the EE Graduate Program proposal through the UC approval process. MS and PhD programs started in September 1997.*
- **7/1998-6/2001:** EE Department Chair  
*Established EE Department & Staff; Established joint Computer Engineering (CEN) Program with Department of Computer Science and Engineering; Negotiated and obtained EE Graduate Program block grant; Prepared ABET accreditation review materials (167 pages) for EE program; Prepared ABET accreditation review materials (249 pages) for CEN program; Passed ABET review for EE and CEN; Prepared graduate program review; Designed and planned second engineering building; Grew EE faculty from 6.1 to 12.6 FTE.*
- **7/2001-6/2003:** Electrical Engineering & Computer Engineering, Undergraduate Advisor and Chair of UG Committee
- **7/2006-6/2007:** Electrical Engineering, Undergraduate Adviser and Chair of the UG Committee
- **7/2006-6/2007:** Chair, EE Workload Definition Committee
- **7/2011-6/2014, 7/2014-6/2017:** ECE Department Chair  
*ECE won its first GAANN grant; Revised lower division curriculum to enhance linear algebra and programming skills and increase retention; Received 6 year ABET accreditation in Summer 2013; Installed Eta Kappa Nu honorary society chapter Fall 2014; Redesigned freshmen Intro. to EE course to be project based to enhance retention; Established and effectively managed new (international) Graduate Preparation Program with new external revenues of >\$350k in 14/15; Established international MS with new external revenues of >\$150k in 14/15; Established new Power Systems focus area; Introduced new required technical writing course. Increase number of EE degrees conferred by 119% and enrollment in EE courses by 124%; Doubled TA allocation to ECE; Grew faculty from 19.1 to 29.6 FTE.*

### College Service

- **7/2009-6/2012:** Chair of the Faculty of the Bourns College of Engineering.
- **7/2009- 6/2012:** Faculty adviser for the ION-UCR student club.
- **7/2017-Present:** Associate Dean – Academic Personnel. *In 18/19, BCOE is home to 125 tenure-track faculty with research funding of about \$50M. The Associate Dean – Academic Personnel oversees the BCOE academic personnel processes (e.g., onboarding, development, recognition, retention, review, and search); advises the Dean on academic personnel matters; participates in the college senior leadership team advising and working with the Dean on space, budget, and advancement; and works with BCOE Chairs, UCR Divisional/Associate Deans, the UCR Vice Chancellor for Academic Personnel, and counterparts at other UC campuses to understand and enhance the UCR academic personnel processes.*

## Selected Professional and Academic Service

### Campus Service

- **11/ 2002-1/2003:** Participant Chancellor's Planning Retreat
- **7/2003-6/2006:** Academic Senate Committee on Academic Personnel (CAP)
- **7/2007-6/2010:** Academic Senate Shadow CAP
- **7/2007-6/2009:** Academic Senate Planning and Budget
- **2/ 2009-6/2009:** UCR Budget Advisory Committee (BAC)  
*EVC/P led committee. I was one of 6 original faculty. Later expanded to include several more.*
- **3/2009-6/2009:** UCR BAC Steering Committee  
*EVC/P led committee including heads of two administrative units, two Deans, and two faculty. Its objective was to consider the information provided from various campus sources to determine budgeting principles and recommendations for presentation to the UCR budget advisory committee and to Chancellor White.*
- **7/2009-6/2010:** Academic Personnel Task Force  
*Appointed by EVC/P: The charge to the Task Force is to examine and recommend on ways UCR might improve its academic personnel processes, starting from the preparation of academic personnel files in the Departments through to when they are signed off on by the EVC/P or Chancellor.*
- **9/2009-6/2010:** Chair of the UCR Strategic Planning “Resources, Budget Planning and Infrastructure Subcommittee”  
*This committee was appointed by the Executive Vice Chancellor and Provost with the charge: To evaluate and make recommendations on economic models, resource generation and cost structure and budgetary transparency. To examine and make recommendations on ways to increase the effectiveness and efficiency of the campus infrastructure, including administrative structure, business affairs, facilities, and services in support of the teaching and research missions.*
- **1/2009-6/2010:** Member, UCR Strategic Planning Steering Committee
- **7/2009-8/2012:** Member, UCR Academic Senate Executive Committee
- **1/2011-12/2011:** Member, UCR's Chancellor's Budget Advisory Council
- **4/2013-10/2013:** Member, Vice Chancellor for Planning and Budget Search Committee
- **1/2018-6/2018:** Member, Associate Vice Chancellor and Chief Compliance Officer Search Committee
- **1/2020-3/2020:** Member, Unit 18 UC-AFT Contract Negotiation Advisory Group
- **7/2022-6/2023:** UCR Academic Senate Committee on Faculty Welfare
- **3/2023- 6/30/2023:** UCR Associate Chancellor Search Advisory Committee
- **7/2023 – 6/2024:** UCR Academic Senate Committee on Memorial Resolution

## Teaching and Student Supervision

### I. Typical Courses

Undergraduate Courses: Dynamic Systems Modeling and Simulation, Automatic Control, Linear Methods for Engineering Analysis and Design using MATLAB, Random variables and Stochastic processes.

Graduate Courses: State and Parameter Estimation, Nonlinear Systems and Control, Aided Navigation Systems, GPS/GNSS, Linear Systems, Stochastic Processes.

### II. Graduate Student Instruction.

Student Name	Degree	My Role; Student Employer (year)	Date Completed
Kathryn Hammer	Ph.D.	Major Professor	Active
Mohammad Stas	Ph.D.	Major Professor	Active
Ashim Neupane	Ph.D.	Major Professor	September 2022
Bernard Jean Uwinez	Ph.D.	Major Professor	Active
Wang Hu	Ph.D.	Major Professor	January 2024
Zeyi Jiang	Ph.D.	Major Professor, MicroStar (2023)	December 2022
Farzana Sharmin Rahman	Ph.D.	Major Professor, Nuro Inc. (2020)	June 2020
Mohammad Billah	Ph.D.	Major Professor. Nauto Robotics (2019)	June 2019
Jesse Garcia	M.S.	Major Professor. SPAWAR (2018)	Summer 2018
Paul Roysdon	Ph.D.	Major Professor. US Government (2017).	Summer 2017
Elahe Aghapour	Ph.D.	Major Professor. Post-doc USC (2019), Illumina (2021)	June 2019
Jakob Mahler Hansen	Ph. D.	External Examiner, NTNU	March 2017
Axel Barrau	Ph. D.	External Committee Member for Mines ParisTech, visitor at UCR, Safran (2015), CTO at Offroad (2022)	March - September 2015
Rathavut Vanitsthian	M. S.	Major Professor; Start-up Thailand	Spring 2012
Haiyu Zhang	Ph.D.	Co-Major Professor; at Amazon (2015), Google, AirBnb	Fall 2014
Akshay Morye	Ph.D.	Major Professor; at Oxford Univ. (2015), Head of Metrics at Oxbotica 2018-present	Spring 2013
Sheng Zhao	Ph.D.	Major Professor; at Google (2015), Waymo (2018)	Fall 2014
Sarath Suvarna	M.S	Major Professor; Head of Software at Neato Robotics (2015-present)	Summer 2012
Dongfang Zheng	Ph.D.	Major Professor; at Google (2015), Tencent (2019), Dir. Of Localization and Mapping at Autel Robotics (2021)	Fall 2014
Yiming Chen	Ph.D.	Major Professor; at Qualcomm (2015); Head of Cloud Robotics at Alibaba (2018)	Fall 2014
Jeff Herrera	M.S.	Major Professor; at NGC (2014)	Spring 2011
Fred Lawton	M.S.	Research co-Chair. L3Harris Space and Airborne Systems (2023)	Fall 2011
Anh Vu	Ph.D.	Post-graduate Advisor, Volkswagen; Velodyne.	Spring 2012
Behlul Sutarwala	M.S.	Major Professor; at LSI, Intel, NVIDIA	Spring 2011
Arvind Ramanandan	Ph.D.	Major Professor; at QualComm (2011); Tesla (2017)	Spring 2011
Anning Chen	Ph.D.	Major Professor; at Broadcomm (2011) <i>Anning Chen is the Institute of Navigation Southern California Section 2010 Graduate Student scholarship winner. Anning Chen won a 2010-2011 UCTC Final Year Dissertation Fellowship.</i>	Spring 2011
Wenjie Dong	Ph.D.	Major Professor; Assoc. Prof. at U Texas RGV (2011-2022) <i>Mr. Dong won UCTC Ph.D. Dissertation Fellowship to support his research during the 08-09 academic year. He has also earned a six month internship with Xerox for a portion of the 08/09 academic year.</i>	Spring 2009
Vladimir Djapic	Ph.D.	Major Professor; at US Navy SSC Pacific (2008), Haval (2017) <i>Mr. Djapic won the best paper in his session award at the 2008 American Control Conference in Seattle WA.</i>	Fall 2008
Kevin Christopher	M.S.	Major Professor; at Boeing (2008)	Fall 2008
Yuanyuan Zhao	Ph.D.	Major Professor; at Western Digital (2007)	Winter 2007

## Teaching and Student Supervision

Yu Lu	Ph.D.	Major Professor; Chinese Acad. Sci. (2009) <i>Yu Lu is the Institute of Navigation Southern California Section 2006 Graduate Student scholarship winner (announced March 21, 2006).</i>	Summer 2006
Elmer Thomas	M.S.	Major Professor; at SendGrid (2014)	Summer 2005
Jenna Cheng	Ph.D.	Major Professor; at NavCom (2005), Broadcomm (2011)	Spring 2005
Kelly Lynn Downey	M.S.	Major Professor	Summer 2004
Shuo Pang	Ph.D.	Major Professor; Professor at Embry-Riddle U. Daytona (2005-2022)	Fall 2004
Steve Hawkinson	M.S.	Major Professor; at NavCom (2003), Broadcom (2011)	Fall 2002
Yunchun Yang	Ph.D.	Major Professor; Founder IPO Company in Beijing	Spring 2001
Torsten Berger	Ph. D.	Major Professor, Boston Dynamics	Spring 1998

### III. Other Teaching / Misc. Supervision.

#### Mentorship of Non-UCR Graduates

From	To	Name
March 2006	June 2006	Paul Miller <i>Paul enrolled in my Spring 2006 offering of EE260 Aided Navigation. After the class, we continued to interact to extended his groups course project to a real world application. Ultimately, he earned a Pentagon Top Navy Scientist or Engineer award for the extended project. His nomination lists me as his mentor. See supplementary item E.</i>

#### Mentorship of Undergraduates

From	To	Name
June 2000	June 2003	Elmer Thomas <i>UC Leads Mentor; Supervised his undergraduate research project</i>
April 2002	June 2004	June Fuller <i>UC LEADS</i>
January 2009	September 2011	Benjamin Wong, Jason Ramapuram, Jeff Herrera, Rathavut Vanitsthan
January 2011	May 2012	Devin Auclair, Dennis Kwon, Seyed Mortazavi, Amir Rustamzadeh, Daniela Gutierrez
January 2012	June 2013	Devin Auclair, Gevork Ashikyan, Tianchen Lu
June 2016	August 2016	Roman Chaar, Derek Saylor, Joel Borja <i>HSI STEM Pathways Summer Bridge to Research Program</i>
March 2019	June 2019	Sean Pickman, Shirin Massoumi
March 2020	June 2020	Kathryn Hammer
January 2023	April 2023	Kevin Urrutia Avila

#### Visiting Faculty

From	To	Name
September 2002	August 2003	Cai Yuan Li
February 2003	March 2003	Huai Xun Liu
February 2015	August 2015	Axel Barrau
August 2014	July 2015	Huaizhong (Norman) Hu
October 2018	September 2019	Felipe Oliveira Silva

#### Mentorship of High School Student Projects

Benjamin Yang (2017), Raina Yin (2019), Thomas Kleist (2021)

## Technical Publications

### a. Books

1. J. A. Farrell, M. Barth, "The Global Positioning System and Inertial Navigation: Theory and Practice," New York: McGraw-Hill Publishing, 370 pp, 1999.
2. J. A. Farrell, M. M. Polycarpou, "Adaptive Approximation Based Control: Unifying Neural, Fuzzy, and Traditional Approximation Based Approaches," *Adaptive and Learning Systems for Signal Processing, Communications and Control Series*, Hoboken, NJ, John Wiley, S. Haykin (Ed.), 436 pages, 2006.
3. J. A. Farrell, "Aided Navigation Systems: GPS and High Rate Sensors," *New York, NY*, McGraw-Hill, W. Rinaldi (Ed.), 552 pages, 2008.

### b. Journal Articles (\* indicates my students or post-docs directly supervised by JAF)

1. A. N. Michel, J. A. Farrell, W. Porod, "Qualitative analysis of neural networks. Information and Decision Technologies," *IEEE T. Circuits & Syst.*, vol. 14, no. 3, pp. 169-194, 1988.
2. A. N. Michel, J. A. Farrell, W. Porod, "Qualitative analysis of neural networks," *IEEE T. Circuits & Syst.*, vol. 36, pp. 229-243, 1989.
3. R. K. Miller, A. N. Michel, J. A. Farrell, "Quantizer effects on steady-state error specifications of digital feedback control systems," *IEEE T. Automat. Contr.*, vol. 34, pp. 651-654, 1989.
4. J. A. Farrell, A. N. Michel, "Estimates of asymptotic trajectory bounds in digital implementations of linear feedback control systems," *IEEE T. Automat. Contr.*, vol 34, pp. 1319-1324, 1989.
5. A. N. Michel, J. A. Farrell, "Associative memories via artificial neural networks," *IEEE Contr. Syst. Mag.*, vol. 10, no. 3, pp. 6-17, 1990.
6. A. N. Michel, J. A. Farrell, H.F. Sun, "Analysis and synthesis techniques for Hopfield type synchronous discrete time neural networks with application to associative memory," *IEEE T. Circuits & Syst.*, vol. 37, pp. 1356-1366, 1990.
7. J. A. Farrell, A.N. Michel, "A synthesis procedure for Hopfield's continuous-time associative memory," *IEEE T. Circuits & Syst.*, 37, pp. 877-884, 1990.
8. J. Farrell, T. Berger\*, B. Appleby, "Using learning techniques to accommodate unanticipated faults," *IEEE Contr. Syst. Mag., Special Issue on Intelligent Control, June*, vol 13, no. 3, pp. 40-49, 1993.
9. J. Farrell, B. Clauberg\*, "Issues in the implementation of an indirect adaptive control system," *IEEE J. Oceanic Eng.*, vol. 18, pp. 311-318, 1993.
10. M. M. Livstone\*, J. A. Farrell, M. A. Dahleh, "Comments on least squares methods for  $H_\infty$  control oriented system identification," *IEEE T. Automat. Contr.*, vol. 39, p. 1531, 1994.
11. J. Farrell, M. Livstone\*, "Calculation of discrete-time process noise statistics for hybrid continuous/discrete-time applications," *Optimal Control: Application and Methods*, vol. 17, no. 2, pp. 151-155, 1996.
12. J. A. Farrell, "Motivations for local approximators in passive learning control," *J. of Intelligent Systems and Control*, vol. 1, no. 2, pp. 195-210, 1996.
13. J. Chen, J. A. Farrell, C. Nett, K. Zhou, " $H_\infty$  identification of multivariable systems by tangential interpolation methods," *IEEE T. Automatic Control*, vol 41, no. 12, pp. 1822-1827, 1996.
14. J. A. Farrell, "Persistence of excitation conditions in passive learning control," *Automatica*, vol 33, no. 4, pp. 699-703, 1997. DOI: 10.1016/S0005-1098(96)00203-8.
15. J. Farrell, M. Djodat\*, M. Barth, M. Grewel, "Latency compensation for differential GPS," *Navigation: The Institute of Navigation*, vol. 44, no. 1, pp. 99-107, 1997.
16. J. Farrell, "On performance evaluation in on-line approximation for control," *IEEE T. Neural Networks*, vol 9, no. 5, pp. 1001-1007, 1998. DOI: 10.1109/72.712180.
17. J. Farrell, "Stability and approximator convergence in nonparametric nonlinear adaptive control," *IEEE T. Neural Networks*, vol. 9, no. 5, pp. 1008-1020, 1998. DOI: 10.1109/72.712182.



## Publications

18. A. Wistrom, J. Farrell, "Simulation and system identification of dynamic models for flocculation control," *IAWQ Journal Water Science and Technology*, vol 37, no. 12, pp. 181-192, 1998.
19. N. Sureshbabu\*, J. A. Farrell, "Wavelet based system identification for nonlinear control applications," *IEEE T. Automatic Control*, vol. 44, no. 2, pp. 412-417, 1999. DOI: 10.1109/9.746278.
20. J. Y. Choi, J. A. Farrell, "Nonlinear adaptive control using networks of piecewise linear approximators," *IEEE T. Neural Networks*, vol 11, no. 2, pp. 390-401, 2000. DOI: 10.1109/72.839009.
21. J. Farrell, T. Givargis\*, "Differential GPS reference station algorithm: Design and analysis," *IEEE T. Control Systems Technology*, vol 8, no. 3, pp. 519-531, 2000.
22. J. Farrell, T. Givargis\*, M. Barth, "Real-time differential carrier phase GPS-aided INS," *IEEE T. Control Systems Technology*, vol 8, no. 4, pp. 709-721, 2000. DOI: 10.1109/87.852915.
23. W. Li\*, X. G. Chang, F. Wahl, J. Farrell, "Tracking control of a manipulator under uncertainty by FUZZY P+I D controller," *Fuzzy Sets and Systems, Elsevier Science: North Holland*, vol 122, pp. 125-137, 2001.
24. W. Li\*, X. G. Chang, J. A. Farrell, F. M. Wahl, "Design of an Enhanced Hybrid Fuzzy P+ID Controller For a Mechanical Manipulator," *IEEE Systems, Man, and Cybernetics - Part B: Cybernetics*, 31, 6, 938-945, 2001.
25. W. Li\*, J. A. Farrell, R.T. Cardé, "Tracking of Fluid-Advected Odor Plumes: Strategies Inspired by Insect Orientation to Pheromone," *Adaptive Behavior*, 9, 3/4, 143-170, 2001.
26. J.Y. Choi, J. A. Farrell, "Adaptive observer based backstepping control using neural networks," *IEEE T. Neural Networks*, vol 12, no. 5, pp. 1103-1112, 2002. DOI: 10.1109/72.950139.
27. J. Stoev, J.-Y. Choi, J. A. Farrell, "Adaptive control for output feedback nonlinear systems in the presence of modeling errors," *Automatica*, 38, 10, 1761-1767, 2002. DOI: 10.1016/S0005-1098(02)00067-5.
28. J. A. Farrell, J. Murlis, W. Li\*, R.T. Carde, "Filament-Based Atmospheric Dispersion Model to Achieve Short Time-Scale Structure of Odor Plumes," *Environmental Fluid Mechanics*, vol. 2 2002, pp. 143-169, 2002.
29. Y. Yang\*, J. A. Farrell, "Magnetometer and Differential Carrier Phase GPS aided INS for Advanced Vehicle Control," *IEEE T. Robotics and Automation*, 19, 2, 269-183, 2003.
30. Y. Yang\*, J. A. Farrell, "Two Antenna GPS aided INS for Attitude Determination," *IEEE T. Control Systems Technology*, 11, 6, 905-918, 2003. DOI: 10.1109/TCST.2003.815545.
31. J. A. Farrell, S. Pang\*, W. Li\*, "Plume Mapping via Hidden Markov Methods," *IEEE T. SMC-B*, 33, 6, 850-863, 2003. DOI: 10.1109/TSMCB.2003.810873.
32. J. A. Farrell, H.S. Tan, Y. Yang\*, "Carrier Phase GPS-aided INS based Vehicle Lateral Control," *ASME J. of Dynamics Systems, Measurement, & Control*, 125, 3, 339-353, 2003. DOI: 10.1115/1.1592190.
33. J. A. Farrell, M. Polycarpou, M. Sharma, "Longitudinal Flight Path Control using On-line Function Approximation," *AIAA J. of Guidance, Control and Dynamics*, 26, 6, 885-897, 2003.
34. J. Nakanishi, J. A. Farrell, S. Schaal, "Composite Adaptive Control with Locally Weighted Statistical Learning," *Neural Networks*, 18, 1, 71-90, 2005.
35. J. A. Farrell, S. Pang\*, W. Li\*, "Chemical Plume Tracing via an Autonomous Underwater Vehicle," *IEEE J. Oceanic Engineering*, 30, 2, 428-442, 2005. DOI:10.1109/JOE.2004.838066.
36. J. A. Farrell, M. Sharma, M. Polycarpou, "Backstepping-Based Flight Control with Adaptive Function Approximation," *AIAA J. of Guidance, Control and Dynamics*, 28, 6, 1089-1102, 2005. DOI:10.2514/1.13030
37. W. Li\*, J. A. Farrell, S. Pang\*, R. M. Arrieta, "Moth-Inspired Chemical Plume Tracing on an Autonomous Underwater Vehicle," *IEEE T. Robotics*, 22, 2, pp. 292-307, 2006.
38. S. Pang\*, J. A. Farrell, "Chemical Plume Source Localization," *IEEE Systems, Man, and Cybernetics - Part B: Cybernetics*, 36, 5, 1068-1080, 2006.
39. Y. Zhao\*, J. A. Farrell, "Locally Weighted Online Approximation Based Control for Nonaffine Systems," *IEEE T. Neural Networks*, 18, 6, 1709-1724, 2007. DOI: 10.1109/TNN.2007.895908
40. Y. Zhao\*, J. A. Farrell, "Self-organizing Approximation Based Control for Higher Order Systems," *IEEE T. Neural Networks*, 18, 4, 1220-1231, 2007. DOI: 10.1109/TNN.2007.899217.

## Publications

41. W. Dong\*, J. A. Farrell, "Cooperative Control of Multiple Nonholonomic Mobile Agents," *IEEE T. Automatic Control*, 53, 6, 1434-1448, 2008.
42. W. Dong\*, J. A. Farrell, "Formation Control of Multiple Underactuated Surface Vessels," *IET Control Theory and Applications*, 2, 12, 1077-1085, 2008.
43. Y. Zhao\*, J. A. Farrell, "Localized Adaptive Bounds for Approximation Based Backstepping," *Automatica*, 44, 10, 2607-2613, 2008.
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*Miller won a 2008 Navy Top Scientists and Engineers Award for his efforts on research related to this article. His nomination for that award cites Farrell as his mentor.*

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## Patents

1. "Multiple Epoch GNSS Carrier Phase Integer Resolution," International Patent Number: WO 2017/031236 A1, February 23, 2017. Applicant: Regents of the University of California. Inventors: Yiming Chen, Sheng Zhao, Jay A. Farrell

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## Non-Technical Publications

### a. Magazine Articles

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### c. Other Miscellaneous

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11. J. A. Farrell, "Control Ambassadors," *IEEE Control Systems Magazine*, Vol. 34: 5, p.12, 13, 98, 2014.
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### Commercialized Research Results

Two software prototypes that were outputs of my research program have been licensed for commercialization. This software processes Lidar and GNSS data in real-time for pile surface reconstruction in relation to port automation. Those two licenses have blossomed into eight commercial products that entered the market in 2021.

## Selected Research Projects

Dates	Agency	Title	Amount \$	Role	Status
2001 - 2003	California PATH	Magnetometer/GPS/INS Demo 2002 Support and Rapid Integer Ambiguity Resolution Research	193,889	PI	Closed
2001 - 2004	ONR	Chemical Plume Tracing, Other Co-PI: R. Carde (Entomology)	365,185	PI	Closed
2003 - 2006	NSF	Stability and Performance Guarantees for Self-Organizing On-Line Approximation Based Control	222,670	PI	Closed
2004 - 2006	Caltrans	Carrier Phase Differential GPS aided INS for Snowplow Guidance	237,786	PI	Closed
2008 - 2010	California Department of Transportation	Carrier Phase Differential GPS aided INS for Snowplow Guidance	178,423	PI	Closed
2007 - 2012	NSF	Self-organizing Approximation-based Control	267,548	PI	Closed
2009 - 2012	Office of Naval Research	Distributed Dynamic Scene Analysis in a Self-Configuring Multimodal Sensor Network, Other Co-PI: A. Roy-Chowdhury	524,000	Co-PI	Closed
2010 - 2012	Federal Transit Administration (FTA)	Pilot Program to Demonstrate the Benefits of Vehicle-Assist and Automation (VAA) Applications for Full-Size Public Transit Buses	287,549 (My portion)	PI joint w/UCB	Closed
2009 - 2014	UC MRPI Program	UC-Light, Other Co-PIs: D. Xu (PI), A. Wang	280,000 (My portion)	Co-PI	Closed
2008 - 2009	Caltrans	Skymeter evaluation	22,947	PI	Closed
2009 - 2012	DOT FHWA	Innovative Approaches for Next Generation Vehicle Positioning Other Co-PI: M. Barth	619,937	Co-PI	Closed
2011 - 2012	FHWA IRDQ	Mapping Technology Assessment Other Co-PI: Barth	578,000	PI	Closed
2011 - 2015	ARO w/ UT-PA	Distributed Cooperative Control of Multiple Nonlinear Systems with Nonholonomic Constraints and Uncertainty	126000 (My portion)	UCR PI	Closed
2013 - 2018	NSF-NRI	Multirobot-Human Coordination for Visual Scene Understanding Other Co-PIs: A. K. Roy-Chowdhury, A. Mourikis	696k total 232k my portion	Co-PI	Closed
2014 - 2015	CALIFORNIA ENERGY COMMISSION	PEV-based Active and Reactive Power Compensation in Distribution Networks, Other Co-PIs: Hamid Mohsenian-Rad, R. Chomko	60k Total	Co-PI	Closed
2014 - 2015	FHWA	Best Practices for Surveying/Mapping Roadways and Intersections for Connected Vehicle Applications, Other Co-PI: M. Barth	150k Total 75k my portion	PI	Closed
2014 - 2014	CALTRANS	Precision Mapping of the California Connected Vehicle Testbed Corridor, Other Co-PI: M. Barth	25,000	Co-PI	Closed
2015 - 2015	US Navy NEEC	Autonomous Surface Vehicle Sensor Stabilization	56,000	PI	Closed
2016 - 2019	ONR	Collaborative Navigation	75,000	Co-PI	Closed
2017 - 2022	RAYS	Port Automation: Construction of Time Varying Surfaces from LIDAR	389,919	PI	Current
2017 - 2018	Sirius XM	DGPS Error Versus Latency and Position	253,345	PI	Closed
2020	Caltrans DRI	Network Differential GNSS Corrections for Connected and Autonomous Vehicles	100,000	PI	Closed
2021 - 2022	NCST	Lane-Level Localization and Map Matching for Advanced Connected and Automated Vehicle (CAV) Applications	85,000	PI	Closed
2021 - 2025	NSF	ADVANCE Partnership: Promoting Equity and Inclusion to Facilitate Retention of Faculty through Evidence- and Place-Based Intervention Training	802k 101k my portion	Co-PI	Current
2023 - 2025	CALTRANS	Vulnerable Road User (VRU): Accurate and Reliable Detection for Roadside-Assisted Cooperative Driving	\$150k	Co-PI	Current
2023 - 2028	US DOT	CARNATION Tier 1 Research Center	\$1.8 M \$900k my portion	UCR PI	Current
Recommend	NSF CSR Medium	Attention-Driven Software Architecture for Integrated Perception and Planning in Autonomous Agents	1.2M \$300k my portion	Co-PI	Current

**Industrial Collaborations:** Barron Associates; Boeing; Booz, Allen, Hamilton; IAC; MSA; NGC; RAYS; Sirius XM